MEMBER SiPC

SECURITIES INVESTOR PROTECTION CORPORATION

Information regarding the Securities Investor Protection Corporation (SIPC), including the SIPC brochure, may be obtained by contacting SIPC at (202) 371-8300 or by visiting the SIPC website at *www.sipc.org*.

BROOKLIGHT PLACE SECURITIES, INC.
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Brooklight Place Securities, Inc. is a registered broker-dealer and a member of FINRA & SIPC. Securities products offered by Brooklight Place Securities, Inc. may only be sold by a properly licensed registered representative.

For more information – If you have questions about our privacy notice, or business continuity planning, you may contact us at our toll-free telephone number (888) 976-0659 or visit us on the web at www.brooklightplacesecurities.com .

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Brooklight Place Securities

Privacy Notice
&
SIPC Disclosure

Privacy Statement

Notice of Privacy Practices

Brooklight Place Securities, Inc. is firm in its commitment to protect your privacy. Securities firms choose how they share your personal information. Federal and state law gives consumers the right to limit some but not all sharing. Please read this notice carefully to understand what we do.

The Concern About Privacy

Brooklight Place Securities, Inc. has been in business since 1984. We have always understood the sanctity of personal information and have lived up to our clients' trust for over 25 years.

The Types of NonPublic Information We Collect

Information we receive from you on applications or other forms, such as your address and phone number, social security number, assets and income;

Financial information; and

Information we receive from third parties including consumer-reporting agencies, such as inspection reporting services.

- As required by securities regulatory authorities and reporting agencies, or law enforcement agencies.

How we may use the information you provide

All financial institutions need to share customers' personal information to run their everyday business. In the section below, we list ways and reasons Brooklight Place Securities, Inc. shares information it has collected:

- For everyday business purposes so as to process your securities applications;
- Provide ongoing service for your accounts;
- Working with companies that perform services on our behalf and that are done in a way which is permitted by law (such as printing, mailing services, data processing or analysis, or customer surveys);
- regulatory authorities and reporting agencies, or law enforcement agencies.

The above uses of this information cannot be limited by you. Federal law does give you certain rights to limit sharing for non-affiliates to market to you. State laws may give you additional rights to limit sharing. However, we also do not disclose nonpublic personal information about you except as required or permitted by all state laws.

*Affiliates is defined as companies related by common ownership or control. Non-affiliates is defined as companies not related by common ownership or control.

Where we obtain information

We get much of the information we need from customer applications and other forms. If a customer authorizes it, we may get information from other institutions, such as credit reporting agencies.

We also may get information as we process customer transactions.

Keeping your information protected

We do not disclose nonpublic personal information about you, except as otherwise required by law. We do not sell or rent information to third parties. We limit access to your information by employees and other representatives to those individuals who have a business reason for knowing it. We maintain physical, electronic and procedural safeguards to protect this information.

Protecting your privacy

We realize that our ability to service you depends on the personal financial information we collect from you and how we use that information. We value your business and are committed to earning and maintaining your trust.